

PATENT
21829/91 (EBC-007)

Receipt
#7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Wei et al.

Examiner:
Unknown

Serial No : 09/880,371

Cnfrm No : 4973

Art Unit:
1645

Filed : June 13, 2001

For : METHODS OF IMPROVING THE
EFFECTIVENESS OF TRANSGENIC
PLANTS

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SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

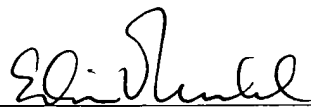
Dear Sir:

Applicants hereby request a second corrected filing receipt for the above-identified application. On the enclosed copy of the official filing receipt, the name of the second inventor is still incorrect. The second inventor reads "Jay Ernest DeRocher, Bothell, WA" but should read "Ernest Jay DeRocher, Bothell, WA". A copy of the signed combined declaration and power of attorney as filed is enclosed herewith.


Since Applicants are not responsible for this error, no fee is enclosed.

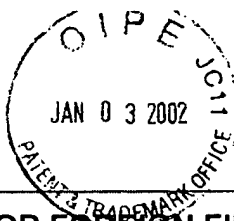
Respectfully submitted,

Dated: November 13, 2001


Edwin V. Merkel
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Certificate of Mailing - 37 CFR 1.8(a)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.	
Date 11/13/01	 Suzanne Ciaio



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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NOT GRANTED

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/880,371	06/13/2001	1645	1026	21829/91 (EBC-007)		74	6

CONFIRMATION NO. 4973

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UPDATED FILING RECEIPT



OC000000006812356

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Michael L. Goldman
NIXON PEABODY LLP
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Rochester, NY 14603

Date Mailed: 09/28/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Zhong-Min Wei, Kirkland, WA;
Jay Ernest DeRocher, Bothell, WA;ERNEST JAY DEROCHE

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/211,585 06/15/2000

Foreign Applications

If Required, Foreign Filing License Granted 07/25/2001

Projected Publication Date: 01/10/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Methods of improving the effectiveness of transgenic plants

Preliminary Class

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHODS OF IMPROVING THE EFFECTIVENESS OF TRANSGENIC PLANTS

the specification of which (check only one item below):

- ☐ is attached hereto.
- ☒ was filed as U.S. Patent Application Serial No. 09/880,371 on June 13, 2001 and was amended on _____ (if applicable).
- ☐ was filed as PCT International Application Number _____ on _____ and was amended under PCT Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specifications, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim priority benefits under Title 35, United States Code, § 119 of any application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States listed below and have also identified below any application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR APPLICATION(S) AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:

COUNTRY (IF PCT, indicate "PCT")	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 35 USC 119
United States	60/211,585	15-JUNE-2000	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) or PCT international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in that/those prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT International filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

U.S. APPLICATIONS

STATUS (Check One)

U.S. APPLICATION NUMBER

U.S. FILING DATE

PATENTED

PENDING

ABANDONED

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PCT APPLICATIONS DESIGNATING THE U.S.

PCT
APPLICATION NO.

PCT
FILING DATE

U.S. SERIAL NUMBERS
ASSIGNED (if any)

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. **Michael L. Goldman, Registration No. 30,727; Joseph M. Noto, Registration No. 32,163; Ann R. Pokalsky, Registration No. 34,697; Gunnar G. Leinberg, Registration No. 35,584; Edwin V. Merkel, Registration No. 40,087; Georgia Evans, Registration No. 44,597; Alice Y. Choi, Registration No. 45,758**

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201	FULL NAME OF INVENTOR	FAMILY NAME Wei	FIRST GIVEN NAME Zhong-Min	SECOND GIVEN NAME
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202	FULL NAME OF INVENTOR	FAMILY NAME DeRocher	FIRST GIVEN NAME Ernest	SECOND GIVEN NAME Jay
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	RESIDENCE & CITIZENSHIP	CITY	STATE/FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	P.O. ADDRESS	CITY	STATE & ZIP CODE/COUNTRY

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201

SIGNATURE OF INVENTOR 202

SIGNATURE OF INVENTOR 203

DATE

8-17-01

DATE

8-17-01

DATE